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PTO/SB/30 (09-08)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Request
for
Continued Examination (RCE)
TransmittalAddress to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Application Number	09/886,296
Filing Date	06/21/2001
First Named Inventor	Tarara, Thomas E.
Art Unit	1816
Examiner Name	Shamila S. Gollamudi
Attorney Docket Number	NK.0054.10

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____
3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 10-0268. I have enclosed a duplicate copy of this sheet.
- a. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Signature		Date	December 6th, 2006
Name (Print/Type)	Ashok K. Janah	Registration No.	37,487

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Signature		Date	December 6th, 2006
Name (Print/Type)	Amy Wells	Date	December 6th, 2006

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JANAH & ASSOCIATES, PC
650 Delancey Street, Suite 106
San Francisco, California 94107 U.S.A.

VIA FACSIMILE

ATTENTION: Examiner Gollamudi
GROUP ART UNIT: 1616
FIRM/CO. NAME: United States Patent and Trademark Office
Application NO: 09/886,296
FAX NO: (571)273-8300
FROM: Amy M. Wells
DATE: December 6, 2006
OUR REFERENCE NO: NK.0054.10

TOTAL NUMBER OF PAGES 20 (INCLUDING COVER PAGE)

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL: Amy

BUSINESS PHONE: (415) 538-1555 FACSIMILE NO.: (415) 538-8380

MESSAGE:

Examiner Gollamudi:
Please see the attached transmittal, amendment and RCE.
Thank you,
Amy Wells

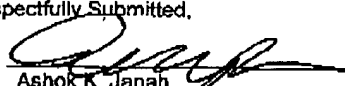

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tarara et al.	Group No: 1616	RECEIVED CENTRAL FAX CENTER DEC 06 2006
Application No: 09/886,296	Examiner: Sharmila S. Gollamudi	
Confirmation No: 6348	Attorney Docket No: 0054.10	
Filed: 06/21/2001	Wednesday, December 06, 2006 San Francisco, CA 94107	
Title: ENGINEERED PARTICLES AND METHODS OF USE		

Commissioner for Patents US Patent and Trademark Office VIA FACSIMILE (571) 273-8300	Extension of Time		
	<input checked="" type="checkbox"/> Applicant petitions for an extension of time under 37 C.F.R. 1.136		
Papers Enclosed	Extension (Months)	Extension Fee	
		Large Entity	Small Entity
<input checked="" type="checkbox"/> Amendment and Marked Up Copy of Claims	<input type="checkbox"/> One Month	\$120.00	\$60.00
<input checked="" type="checkbox"/> RCE	<input type="checkbox"/> Two Months	\$450.00	\$225.00
	<input checked="" type="checkbox"/> Three Months	\$1020.00	\$510.00
	Total \$ 1020.00		
	<input type="checkbox"/> Applicant believes that no extension of term is required. However, this conditional petition is being made in case applicant has inadvertently overlooked the need for a petition for extension of time.		

Fees for Extra Claims						
	Claims remaining after amendment	Highest number previously paid for	Number Extra	Rate		Additional Fee
				Large Entity	Small Entity	
Total Claims	34	37	0	\$50.00	\$25.00	\$0.00
Independent Claims	2	5	0	\$200.00	\$100.00	\$0.00
Multiple Dependent Claims				\$360.00	\$180.00	\$0.00
Supplemental Information Disclosure Statement						
Total						\$0.00

Fee Payment		Fee Deficiency	
Extension Fees	\$1020.00	<input checked="" type="checkbox"/> If any additional extension and/or fee is required, please charge Deposit Account No. <u>10-0258</u> and/or	
Fees for Extra Claims	\$0.00	<input checked="" type="checkbox"/> If any additional fee for claims is required, please charge Deposit Account No. <u>10-0258</u> .	
RCE Fee	\$790.00		
Total	\$1810.00		
<input type="checkbox"/> Attached is check no. _____ in the sum of \$0.00. <input checked="" type="checkbox"/> Please charge Deposit Account No. <u>10-0258</u> in the sum of \$1810.00		Please direct telephone calls to: Ashok K. Janah at (415) 538-1555 Please continue to send correspondence to: Nektar Therapeutics 150 Industrial Road San Carlos, CA 94070.	
CERTIFICATE OF TRANSMISSION (37 C.F.R. § 1.8a): I hereby certify that, on the date shown below, this correspondence is either being facsimile transmitted to the U.S. Patent and Trademark Office via Fax No. (571) 273-8300, or being deposited with the United States Postal Service as first class mail with sufficient postage, in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		Respectfully Submitted, By:  Date: <u>December 6, 2006</u> Ashok K. Janah Registration No. <u>37,487</u>	
By:  Date: <u>December 6, 2006</u> Amy Wells			